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| FORM PTO-1390 (REV 10-97) | | U.S. Department of Commerce Patent and Trademark Office | | ATTORNEY'S DOCKET NUMBER CM00620P | |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | | U.S. APPLICATION NO. 09/830306 | |
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| INTERNATIONAL APPLICATION NO. PCT/EP99/09028 | | INTERNATIONAL FILING DATE 12 NOVEMBER 1999 | | PRIORITY DATE CLAIMED 13 NOVEMBER 1998 | |
| TITLE OF INVENTION: MITIGATING ERRORS IN A DISTRIBUTED SPEECH RECOGNITION PROCESS | | | | | |
| APPLICANT(S) FOR DO/EO/US PEARCE, ET AL. | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | |
| <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the application time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371 (c)(2)). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 11 to 16 below concern other document(s) or information included:</p> <ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input type="checkbox"/> Other items or information: | | | | | |

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| U.S. Application No. (if known, see 37 CFR 1.5) 09/830306 | | International Application No. PCT/EP99/09028 | | Attorney Docket Number CM00620P | | | |
| 17. <input checked="" type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search report has been prepared by the EPO or JPO\$930.00 International preliminary examination fee paid to USPTO (37 CFR 1.482)\$720.00 No International preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445 (a)(2)).....\$710.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$1,000.00 Intentional preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)\$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 930.00 | | | | CALCULATIONS | | PTO USE ONLY | |
| | | | | | | | |
| Surcharge of \$130.00 for furnishing the oath and declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ | | | |
| Claims | Number Filed | Number Extra | Rate | | | | |
| Total Claims | 26 - 20 = | 14 | X \$18.00 | \$ | | | |
| Independent Claims | 3 - 3 = | 1 | X \$80.00 | \$ | | | |
| Multiple dependent claim(s) (if applicable) | | | | +\$270.00 | | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 930.00 | | | |
| Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28). | | | | \$ | | | |
| SUBTOTAL: | | | | \$ 930.00 | | | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | + | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ 930.00 | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | + | | \$ | |
| TOTAL FEES ENCLOSED = | | | | \$ 930.00 | | | |
| | | | | Amount to be refunded | | \$ | |
| | | | | charged | | \$ 930.00 | |
| a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>13-4772</u> in the amount of \$ <u>930.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required now or in the future under 37 CFR 1.16 or 37 CFR 1.17, including any present or future time extension fees which may be required, or credit any overpayment to Deposit Account No. <u>13-4772</u> . Two duplicate copy of this sheet is enclosed. Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status. Send all correspondence to: Motorola, Inc. Intellectual Property Department, 3rd Floor 1303 E. Algonquin Road Schaumburg, Illinois 60196 | | | | | | | |
| <div style="text-align: right;">  STEVEN R. SANTEMA Attorney for Applicant(s) Reg. No.: 40,156 Telephone: (847) 538-7588 Fax No.: (847) 576-3750 </div> | | | | | | | |

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Motorola, Inc INT'L APPLICATION NO: PCT/EP99/09028
CASE NO.: CM00620P—PEARCE, ET AL. U.K. FILE DATE: 13 NOVEMBER 1998
ENTITLED: MITIGATING ERRORS IN A DISTRIBUTED SPEECH RECOGNITION
PROCESS

Motorola, Inc.
Corporate Offices
1303 E. Algonquin Road
Schaumburg, IL 60196
April 25, 2001

CERTIFICATE OF EXPRESS MAILING / FILING UNDER 35 U.S.C. 371

COMMISSIONER OF PATENTS
& TRADEMARKS
BOX PCT
Washington D.C. 20231

Sir:

I certify that the above-referenced FILING UNDER 35 U.S.C. 371 was deposited,
postage prepaid, as Express Mail having the mailing label number written below with the
United States Postal Service addressed to:

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BOX PCT
Washington D.C. 20231

on Wednesday, April 25, 2001

By: Carelai Watson

Date: April 25, 2001

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